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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 

ATTORNEY'S DOCKET NUMBER .034166.003

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/533,492 PRIORITY DATE CLAIMED

INTERNATIONAL APPLICATION NO. PCT/EP2003/012085

INTERNATIONAL FILING DATE

31 October 2002 (31.10.2002) 30 October 2003 (30.10.2003) METHOD FOR THE PRODUCTION OF COMPOUNDS CONTAINING PALLADIUM(0) TITLE OF INVENTION APPLICANT(S) FOR DO/EO/US KAYSER, Bernd et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. 
 is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  $\boxtimes$ An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. 15. A substitute specification. A power of attorney and/or change of address letter. **16.** □ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 17. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/533.492			INTERNATIONAL APPLICATION NO. PCT/EP2003/012085			ATTORNEY'S DOCKET NUMBER 034166.003	
20. Other items or information: Notification of Missing Requirements, Certificate of Mailing Under 37 CFR 1.8, and Return Receipt Postcard							
The following	fees are submitted:					CALCULATIONS	PTO USE ONLY
21. Basic national fee (37 CFR 1.492(a))					\$		
22. Examination Fee (37 CFR 1.492(c)))  If the written opinion prepared by ISA/US to the international preliminary examination report prepared  By IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						\$	
23. Search fee (37 CFR 1.492(b))  If the written opinion prepared by ISA/US to the international preliminary examination report prepared By IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						\$	
All other situations						\$ 0	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821 (c) or (e) computer program listing filed in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra sheets Number of each additional 50 or fraction RATE							
Total Sneets	Extra sheets	thereof (round up to a whole number)					
- 100 =	0/50 =				x \$250	\$0	
	00 for furnishing any of the softhe national stage (37 CFF		mination fee, or the oath or de	claration	n after the date	\$ 130	
CLAIMS	NUMBER FILE	D D	NUMBER EXTRA		RATE	\$	
Total claims		- 20 =	0	x	\$50	\$0	_
Independent clair	ms	- 3 =	0	x	\$200	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+	\$360	\$	
		\$ 130					
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						\$	
SUBTOTAL =						\$ 130	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$		
TOTAL NATIONAL FEE =						\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$		
TOTAL FEES ENCLOSED =						\$ 130	
D5 ATRAN1 00000137 10533492					Amount to be refunded:	\$	
17	130.00 0	<b>Ρ</b> - √ <sub>12</sub>				Amount to be charged:	\$

a. A check in the amount of \$ 130.00 to cover the above fees is enclosed.								
b. Please charge my Deposit Account No in A duplicate copy of this sheet is enclosed.	the amount of \$ to cover the above fees.							
c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment of Deposit Account No A duplicate copy of this sheet is enclosed.								
d.  Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.37(a) or (b)) must be filed and granted to restore the International Application to pending status.								
	·							
SEND ALL CORRESPONDENCE TO:	Maria							
Customer No. 25461	SIGNATURE							
Smith, Gambrell & Russell, LLP	Robert G. Weilacher							
1230 Peachtree Street, N.E. Suite 3100, Promenade II	NAME							
Atlanta, Georgia 30309-3592								
	20,531							
Telephone: (404) 815-3593 Facsimile: (404) 685-6893	20,531 REGISTRATION NUMBER							
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JC06 Rec'd PCT/PTO 03 OCT 2005

## **CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attn: DO/EO/US

on **September** <u>39</u>, 2005

Signature (

Lucy Kimsey

Inventor(s):

KAYSER, Bernd et al.

Serial No.:

10/533,492

Filing Date:

29 April 2005 (29.04.2005)

Title:

Method for the Production of Compounds Containing Palladium(0)

Atty. Dkt. No.:

034166.003

The correspondence includes the following documents:

- 1. \$130.00 Check;
- 2. Transmittal Letter (3 pages);
- 3. Notification of Missing Requirements (2 pages);
- 4. Declaration/Power of Attorney (4 pages);
- 5. Certificate of Mailing Under 37 CFR §1.8 (1 page); and
- 6. Return Receipt Postcard.







## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sex 1450 Alexandria, Viginia 22313-1450 www.uppto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/533,492

Bernd Kayser 034166.003

INTERNATIONAL APPLICATION NO.

PCT/EP03/12085

25461 SMITH, GAMBRELL & RUSSELL, LLP 1230 PEACHTREE STREET, N.E. SUITE 3100, PROMENADE II ATLANTA, GA 30309-3592

I.A. FILING DATE PRIORITY DATE 10/30/2003

**CONFIRMATION NO. 7975 371 FORMALITIES LETTER** \*OC000000017029020\*

Date Mailed: 09/16/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/29/2005
- Preliminary Amendments filed on 04/29/2005
- Information Disclosure Statements filed on 04/29/2005
- U.S. Basic National Fees filed on 04/29/2005
- Priority Documents filed on 04/29/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.





Telephone: (703) 308-9140 EXT 224

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,492	PCT/EP03/12085	034166.003

FORM PCT/DO/EO/905 (371 Formalities Notice)